

1 **Feasibility of eliminating visceral leishmaniasis from the Indian**
2 **subcontinent: explorations with a set of deterministic age-**
3 **structured transmission models**

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12

13 **Abstract**

14 **Background:** Visceral leishmaniasis (VL) is a neglected tropical disease transmitted by sandflies. On
15 the Indian subcontinent (ISC), VL is targeted for elimination as a public health problem by 2017.

16 Elimination is defined as an annual VL incidence of <1 per 10,000 capita at (sub-)district level.

17 Interventions focus on vector control, surveillance and on diagnosing and treating VL cases. Not all
18 endemic settings have yet achieved optimal control due to logistical, biological as well as technical
19 challenges. We used mathematical modelling to quantify VL transmission dynamics and predict the
20 feasibility of achieving VL elimination with current control strategies under varying assumptions
21 about the reservoir of infection in humans.

22 **Methods:** We developed three deterministic age-structured transmission models with different
23 main reservoirs of infection in humans: asymptomatic infections (model 1), reactivation of infection
24 after initial infection (model 2), or post kala-azar dermal leishmaniasis (PKDL; model 3). For each
25 model, we defined four sub-variants based on different assumptions about the duration of immunity
26 and age-patterns in exposure to sandflies. All 12 model sub-variants were fitted to data from the
27 KalaNet study in Bihar (India) and Nepal, and the best sub-variant was selected per model.
28 Predictions were made for optimal and sub-optimal indoor residual spraying (IRS) effectiveness for
29 three different levels of VL endemicity.

30 **Results:** Structurally different models could equally well explain the KalaNet data. However, the
31 predicted impact of IRS varied substantially between models, such that a conclusion about reaching
32 the VL elimination targets for the ISC heavily depends on assumptions about the main reservoir of
33 infection in humans: asymptomatic cases, recovered (immune) individuals that reactivate, or PKDL
34 cases.

35 **Conclusions:** Data on the impact of IRS available so far suggest one model is probably closest to
36 reality (model 1). According to this model, elimination of VL (incidence of <1 per 10,000) by 2017 is

37 only feasible in low and medium endemic settings with optimal IRS. In highly endemic settings and
38 settings with sub-optimal IRS, additional interventions will be required.

39

40 **Keywords**

41 Visceral Leishmaniasis, Elimination, Mathematical Modelling, Indoor residual Spraying, Indian

42 Subcontinent, Neglected Tropical Disease, Kala-azar, Reservoir of Infection, Sandfly, Transmission

43 Dynamics

44 **Background**

45 On the Indian subcontinent (ISC), visceral leishmaniasis (VL) is caused by the protozoan *Leishmania*
46 *donovani*, which is transmitted by the peri-domestic female sandfly, *Phlebotomus argentipes*. VL is a
47 neglected tropical disease (NTD) [1] with about 300 million people at risk globally, mainly affecting
48 the poorest of the poor in rural areas. Two thirds of the estimated global 200,000 to 400,000 new VL
49 cases per year occur on the ISC. Furthermore, over 20,000 deaths per year on the ISC are attributed
50 to VL, making it the deadliest parasitic infection after malaria [2,3]. Humans are considered the only
51 host for *L. donovani* on the ISC, whereas in the rest of the world VL is both anthroponotic and
52 zoonotic, and can also be caused by *L. infantum* [2]. Only a small fraction of the people that become
53 infected develop clinical symptoms, while most remain asymptomatic, nonetheless carrying the
54 parasite [4]. People that develop symptoms of VL, also known as kala-azar (KA), display signs of
55 fever, weight loss, anaemia and splenomegaly, and will eventually die when left untreated [5,6]. It is
56 estimated that about one to five percent of successfully treated VL cases on the ISC develop post-
57 kala-azar dermal leishmaniasis (PKDL), a self-healing skin disease which may last for several years [7–
58 9]. *L. donovani* infection can be diagnosed by – among other methods –testing of peripheral blood
59 for parasite DNA by means of polymerase chain reaction (PCR), and by testing for antibodies using
60 the direct agglutination test (DAT, a marker for current or recent infection).

61 Even though attention for VL has grown over the past decade, its transmission dynamics are still not
62 completely understood. For instance, little is known about the role and duration of acquired
63 immunity after infection, the infectiveness of different disease stages towards the sandfly, and
64 natural sandfly behavior. The observation of low and infrequent numbers of symptomatic VL cases,
65 which by themselves are not sufficient to sustain transmission, suggests the presence of a parasite
66 reservoir, which is also supported by high proportions of PCR+ individuals [10]. Even though the
67 parasite has been found in domestic animals, their role in transmission on the ISC has not been
68 established [11], and therefore humans remain the only confirmed reservoir of the parasite on the

69 ISC. Potential human reservoirs of infection (apart from the low number of symptomatic cases) are
70 asymptomatic infections, persons in whom a past infection reactivates, PKDL cases, or a mixture of
71 these.

72 In 2012, WHO developed the first NTD 2020 Roadmap that contains targets for the elimination and
73 control of VL [12]. That same year, the London Declaration was signed by several partners from the
74 public and private sector, to support the 2020 WHO Roadmap targets through advocacy,
75 pharmaceutical supplies and research funding [13]. On the ISC, the target is to eliminate VL as a
76 public health problem by or before 2017, where elimination is defined as an annual incidence of VL
77 of <1 per 10,000 capita at sub-district-levels in Bangladesh and India; and at district-level in Bhutan
78 and Nepal [14]. In the rest of the world, the WHO target is 100% detection and treatment of all VL
79 cases. In the ideal situation of meeting the WHO targets for VL, the global impact (relative to the
80 counterfactual had the pre-control situation in the year 1990 continued unabated) has been
81 estimated at 2.4 million averted deaths, 140 million averted DALYs, and about 20 billion US dollars
82 saved between 2011 and 2030 [15,16].

83 The governments of the ISC-countries have committed themselves to achieving the elimination
84 target by implementing different interventions. These are mainly focused on two approaches: (1)
85 early diagnosis of symptomatic cases followed by effective case management, which prevents
86 disability and death, and reduces the presence of infective individuals; and (2) vector control to
87 reduce or interrupt transmission [2]. Indoor residual spraying (IRS) of human dwellings and cattle
88 sheds with long lasting insecticides such as DDT is the most important and widely implemented form
89 of vector control. To a lesser extent, insecticide-treated bed nets, environmental management and
90 personal protection are also being implemented [17,18]. Although spraying campaigns on the ISC
91 have been scaled up over the last years, not all regions have yet achieved effective IRS programs due
92 to various challenges such as limited training of spraying teams, poor community acceptance, and
93 sandfly resistance to DDT [18–22].

94 Here, we focus on the following research question: is it technically feasible to achieve the WHO VL
95 elimination targets on the ISC by 2017 with current IRS strategies and ongoing detection and
96 treatment of cases? To this end, we upgraded the most relevant existing deterministic VL
97 transmission model [23,24], and developed three age-structured deterministic models representing
98 three potential main parasite reservoirs in humans: (1) asymptomatic cases, (2) recovered (immune)
99 individuals in whom infection reactivates, and (3) cases of PKDL. For each model, we defined four
100 sub-variants with different transmission dynamics. All twelve models were quantified using data
101 from the KalaNet study in Bihar (India) and Nepal [25,26]. With the best sub-variant of each of the
102 three models, we simulated the impact of IRS (optimally and sub-optimally implemented) on VL
103 incidence for three endemic settings to predict the feasibility of achieving the elimination target of
104 <1 VL case per 10,000 capita per year on the ISC.

105

106 **Methods**

107 Model structure

108 We developed a set of three VL transmission models, each with four sub-variants, based on the
109 general structure of a previous model developed by Stauch and colleagues at Tuebingen University
110 [23,24]. In all models (see Figure 1 for schematic representation), we assume that humans are born
111 *susceptible* and, when bitten by an infective sandfly, will move to the stage of *early asymptomatic*
112 *infection*. We assume that individuals in this stage test positive for parasite DNA using PCR (PCR+),
113 and test negative for antibodies using the direct agglutination test (DAT-). After some time, an
114 infected person will develop antibodies and advance to the stage of *late asymptomatic infection*
115 (PCR+/DAT+). A small fraction of cases with late asymptomatic infection will develop symptoms of VL
116 and enter the stage of *symptomatic untreated* (PCR+/DAT+). While most symptomatic cases will
117 require one or two treatment regimens (stages of *first-line* and *second-line treatment* (PCR+/DAT+),

118 initiated after a detection delay) to clear infection to the extent that parasite DNA is no longer
119 detectable (*putatively recovered* stage, PCR-/DAT+), a small fraction of untreated symptomatic cases
120 will spontaneously clear infection and directly advance to the putatively recovered stage (i.e. non-
121 fatal cases that do not turn up in surveillance data because of low severity of disease). All
122 symptomatic cases are assumed to be at excess risk of dying from VL, with the excess risk being
123 highest in untreated cases. From the putatively recovered stage, a small fraction of individuals may
124 develop PKDL (PCR+/DAT+) from which they will eventually recover (spontaneously or by means of
125 treatment; the exact mechanism of recovery is not specified in the model). However, the majority of
126 cases in the putatively recovered stage advance to the *early recovered* stage (PCR-/DAT+), along with
127 recovered cases of PKDL, and the majority of late asymptomatic infections that do not develop any
128 symptoms and spontaneously clear infection to the extent that parasite DNA is no longer detectable.
129 Eventually, individuals in the early recovered stage will lose their DAT positivity, and enter the *late*
130 *recovered* stage (PCR-/DAT-), during which they are still immune to new infections. From there,
131 individuals either lose their immunity and become susceptible again to infection through exposure
132 to infective sandflies (model 1), or their past infection reactivates such that they re-enter the stage
133 of early asymptomatic infection without requiring exposure to an infective sandfly (model 2), for
134 example when experiencing decreased immune-competence during malnutrition or co-infection
135 [27]. In terms of structure, model 1 is the most similar to the model by Stauch *et al* [23].

136 In each model, infection is transmitted between humans by bites of female sandflies (we do not
137 consider male sandflies, which only feed on plant sugars). We define the sandfly population in terms
138 of sandflies per human, a quantity that incorporates sandfly density, the unknown ratio of blood
139 meals take on human and animals, and the unknown (average) vector competence of sandflies. The
140 sandfly population is partitioned into 3 compartments; all sandflies are born *susceptible* and after
141 feeding on an infective human, they become *infected* with some probability depending on the
142 infectiveness of the human stage of infection. After an incubation period, infected sandflies become
143 *infective* and may again infect susceptible humans. We assume no excess mortality among infected

144 sandflies. IRS is assumed to reduce the sandfly density and consequently, human exposure to sandfly
145 bites.

146 In models 1 and 2, all PCR+ human stages (asymptomatic and symptomatic infection, and PKDL) are
147 considered to be infective towards sandflies, with early asymptomatic cases being half as infective as
148 late asymptomatic cases (as assumed by Stauch *et al* [23]). Infectiveness of untreated clinical cases is
149 set at 1.0, treated patients and PKDL have an infectiveness of 0.5, and that of asymptomatic cases is
150 estimated. In model 3, which is identical in structure to model 1, only cases of symptomatic infection
151 and PKDL are assumed to contribute to transmission [28], with PKDL having a higher (estimated)
152 infectiveness than in models 1 and 2. Further, in model 3 we set the duration of PKDL to thrice as
153 long as in model 1, assuming that there is a larger spectrum of PKDL severities than currently
154 recognized, of which undiagnosed forms also contribute to transmission. Model 3 can be considered
155 an extreme variant of model 1.

156 The transmission model was defined in terms of a system of ordinary differential equations (ODE;
157 see Additional File 1, section 2). Hence, we assumed that all transitions between stages take place at
158 constant rates, leading to exponentially distributed durations of stages. However, because the
159 human demography on the ISC cannot be well approximated by the assumption of a stable human
160 population size and exponential human survival (as applied by Stauch *et al*), we allowed for human
161 population growth and age-specific human mortality (i.e. by stratifying the system of ODEs into
162 annual age categories). The number of sandflies per human is assumed to be stable during human
163 population growth and in absence of vector control.

164 Parameter quantification

165 Assumptions about human demography, excess mortality, duration of symptomatic stages of
166 infection, and sandfly biology were based on literature and published data sources (Table 1) [23,29–
167 36]. Note that for model 3, the duration of PKDL is assumed to be 15 years instead of 5 years

168 (models 1 and 2). Next, for each model we defined four sub-variants in terms of assumptions about
169 the duration of the late recovered stage and age-patterns in exposure to sandfly bites. The duration
170 of the late recovered stage was chosen to be two or five years, which were reasonable values, given
171 that the analytical solution of the system of ODEs at equilibrium showed that all three models could
172 only support the data for durations of the late recovered stage less than seven years (Additional File
173 1, section 5). With regard to age-patterns in exposure to sandfly bites, we assumed that exposure is
174 either fixed, or increases proportionally with body surface (i.e. a linear increase in sandfly exposure
175 between age 0 to 20 followed by a constant exposure from age 20 onwards). The latter assumption
176 has also been previously used to model the vector-borne diseases onchocerciasis and lymphatic
177 filariasis [37–39].

178 Remaining model parameters (sandflies per human, duration of asymptomatic stages of infection,
179 infectiveness of human stages of infection, and proportion of asymptomatic infections that develop
180 symptoms of VL) were estimated based on data from the KalaNet study, a community-based
181 intervention trial in hyper-endemic clusters in Bihar, India, and in the Terai plains in Nepal
182 [25,26,40]. The KalaNet data constitute cross-sectional information on DAT status of 21,204
183 individuals from three time points spanning two years, and information on incidence of VL during
184 the entire two-year study period. For 668 individuals aged 14 and older, PCR testing was performed
185 as well. Further, a subset of individuals were covered in consecutive cross-section surveys, allowing
186 derivation of changes in PCR and DAT status. To quantify our model, we used prevalence of DAT-
187 positivity (titre > 1:800, like Stauch *et al* [23]), PCR-positivity, PCR/DAT-positivity, incidence of VL and
188 incidence of PCR-positivity (i.e. a change from PCR-negative to positive between two consecutive
189 years), and the prevalence of *L. donovani* in sandflies in Nepal [40] (which in the model we take to
190 be the proportion of sandflies that is infective, like Stauch *et al* [23]). An overview of these data is
191 provided in Table A1-2 in Additional File 1, section 3. In the main analysis, we assume that observed
192 levels of PCR and DAT-positivity adequately reflect prevalences of the corresponding stages of
193 infection in our model. The importance of imperfect test sensitivity and specificity was explored

194 using analytical solutions of the equilibria of the system of ODEs (Additional File 1, section 5). We
195 fitted model parameters to country-specific, population-level data, aggregated over years, villages,
196 age, and sex. Because we used an age-structured model, we could take account of the fact that the
197 PCR data were sampled from a sub-population aged 14 years and older, while data on DAT-positivity
198 and VL incidence were sampled from the whole population (in contrast to Stauch *et al* [23], who
199 analyzed the KalaNet data as one homogeneous entity).

200 Model parameters were fitted in two steps. First, we quantified model parameters with regard to
201 duration of stages of asymptomatic infection, fraction of asymptomatic cases that develop VL, and
202 the number of sandflies per human, conditional on preliminary assumption about infectiveness of
203 human stages of infection (which is only determined by the prevalence of infection in sandflies, and
204 can therefore be solved separately, see Additional File 1). The system of ODEs was solved
205 numerically using the *deSolve* package [41] in R (version 3.2.0) [42], and parameters were estimated
206 within a maximum likelihood framework (ignoring the clustered study design, just like Stauch *et al*
207 [23]), using the BFGS algorithm from the *optim* package. For every evaluation of the optimization
208 algorithm we let the model warm up for 400 years, assuming that the KalaNet data represent an
209 equilibrium situation. Second, we analytically solved the system of ODEs with regard to infectiveness
210 of human stages of infection and the number of sandflies per human, given data on prevalence of
211 infection in sandflies in Nepal (for approach, see Additional File 1). The proportion of putatively
212 recovered case that develop PKDL was set to 5% such that the predicted PKDL prevalence for
213 endemic villages in Nepal in models 1 and 2 was 5 per 10,000 population, which corresponds to the
214 4.4 to 7.8 per 10,000 that has been reported for Nepal [9]. Last, for each model we selected the best
215 sub-variant based on the log-likelihood with regard to age-patterns in prevalence of infection
216 markers and incidence of VL and PCR-positivity.

217 Predicting the impact of IRS

218 With each best sub-variant of model 1, 2, and 3, we simulated a high, medium, and low endemic
219 setting, defined in terms of pre-IRS VL incidence of 20 per 10,000, 10 per 10,000 and 5 per 10,000
220 per year, respectively. These endemic settings were chosen given the declining trends in VL cases
221 and the fact that VL incidences of 20 cases per 10,000 capita per year (as observed in the KalaNet
222 setting) are currently rarely observed [43]. Each endemic setting was quantified by tuning the
223 number of sandflies per human, assuming that transmission dynamics are in equilibrium with
224 current detect and treat interventions (which are slightly different from those in the KalaNet
225 situation; see Table 1). We simulated the impact of IRS strategies as planned for India, i.e. two
226 spraying rounds per year targeting houses and cattle sheds in endemic villages [17]. We assumed
227 that optimally implemented IRS (*optimal IRS*) results in a continuous reduction in sandfly density of
228 approximately 63%, given the reported reduction in sandfly density after IRS with
229 dichlorodiphenyltrichloroethane (DDT) of 72% [44] and the assumption that rotating spraying teams
230 continuously cover households 85%-95% of the time. Sub-optimally implemented IRS (*sub-optimal*
231 *IRS*) was assumed to be half as effective due to lower continuous household coverage, sub-optimal
232 spraying techniques and sandfly resistance to DDT [18–22], leading to a continuous sandfly density
233 reduction of 31.5%. We interpreted the WHO elimination target in our model as an annual incidence
234 of VL cases (receiving treatment) of <1 per 10,000 capita.

235 In a sensitivity analysis for predicted trends in VL incidence during IRS, we varied the values of key
236 estimated and assumed parameter values by factors 4/5 and 5/4 (except for the number of sandflies
237 per human, as this parameter mainly influences predicted trends in VL incidence through pre-IRS
238 infection levels).

239

240 **Results**

241 All four sub-variants of all three models could closely reproduce the country-specific, population-
242 level incidence and prevalence data, with deviances ranging between 2.11 and 2.61 (χ^2 degrees of
243 freedom = 8, $p \gg 0.5$). All model sub-variants estimated the duration of early asymptomatic
244 infection (PCR+/DAT-) at around 1.1 years and the duration of late asymptomatic infection
245 (PCR+/DAT+, excluding cases with symptoms) at just under four months. Estimates for the
246 proportion of asymptotically infected cases that develop VL (range 2.8–3.9%), infectiveness of
247 early and late asymptomatic infection (0.014–0.018 and 0.027–0.035, respectively, model 1 and 2
248 only), infectiveness of PKDL (2.32–2.72, model 3 only), and duration of the early recovered stage (1.0
249 to 1.7 years; PCR-/DAT+, excluding putatively recovered people) slightly varied between models and
250 sub-variants (i.e. assumptions about age-dependent exposure to sandfly bites and duration of the
251 late recovered stage). All fitted parameter values are presented in Table 2.

252 Given the parameter estimates above, the most common infection history for a person to go
253 through (susceptible, asymptotically infected, and early recovered without ever developing VL)
254 takes on average about 2.7 to 3.1 years (not including the duration of the late recovered stage,
255 which we assume to be either two or five years). All three models predicted that in a state of
256 endemic equilibrium about 10% of all transmission of infection is generated by VL cases (treated and
257 untreated). According to models 1 and 2, an additional 8% of transmission is generated by PKDL
258 cases and the remaining 82% by asymptotically infected cases. In model 3, 90% of transmission is
259 generated by PKDL cases (and none by asymptomatic infections, by default).

260 The sub-variants of models 1 and 3 that best reproduced the age-specific data were based on the
261 assumptions of age-dependent exposure to sandflies and a duration of late recovered stage of two
262 years; for model 2, the sub-variant with fixed exposure to sandflies and duration of the late
263 recovered stage of five years best fitted the data. Figure 2 illustrates the fit of the best sub-variants
264 to the age-specific data on VL incidence and DAT prevalence, with identical fits for model 1 and 3.

265 Fits to other data types (PCR incidence, PCR prevalence, PCR/DAT prevalence) and fits for all model
266 sub-variants can be found in Additional File 2.

267 Using the best sub-variant of each model, we predicted the impact of optimal and sub-optimal IRS
268 on VL incidence for high, medium and low endemic settings (Figure 3). Models 1 and 3 predict that
269 optimal IRS (63% assumed reduction in sandfly density) reduces VL incidence by about 25% in the
270 first year and by another 25% in the second year after the start of IRS, irrespective of the endemicity
271 level at equilibrium. However after two years, predictions by model 1 and 3 diverge: in model 1, VL
272 incidence keeps on declining due to the rapid depletion of the reservoir of infection in
273 asymptotically infected cases (average duration of asymptomatic infection of about 1.4 years); in
274 model 3, the reduction in VL incidence slows down strongly after two years due to the presence of
275 the relatively large reservoir of infection in PKDL-cases (average duration of 15 years). Model 2
276 predicts a relatively slow and stable decline from the start of IRS, as the decrease in sandfly density
277 has no influence on VL cases arising from people in whom old infection reactivates.

278 Model 1 predicts that about 4 to 6 years of optimal IRS will reduce the annual VL incidence in low
279 and medium endemic settings to levels (just) under 1 per 10,000 capita. However, models 2 and 3
280 predict that these low levels of VL incidence cannot even be achieved within 12 years of optimal IRS.
281 Similarly, model 1 predicts that with sub-optimal IRS, these levels of VL incidence are only achieved
282 after about 10 years, and only in low endemic settings. Still, when IRS is continued over an extremely
283 long period of time (say 200 years), most sub-variants of the three models predict that optimal IRS
284 will eventually result in elimination in all endemic settings (Additional File 3). Sub-optimal IRS will
285 only succeed to do so in low and medium endemic settings after varying durations of IRS.

286 Figure 4 illustrates trends in prevalence of infective sandflies (among caught sandflies) for a medium
287 endemic setting with optimal IRS (see Additional File 4 for low and highly endemic settings).

288 Compared to model 1, models 2 and 3 predict a relatively slow decline in prevalence of infective

289 sandflies because of the persisting parasitic reservoirs of late recovered and PKDL cases,
290 respectively.

291 Additional File 5 provides an overview of the results of the sensitivity analysis for a medium
292 endemic setting with optimal IRS. Sensitivity of predicted trends in VL incidence during IRS were
293 strongly associated with changes in pre-control infection levels (i.e. alternative parameter values
294 often produced parallel trends in VL incidence). Only the assumed effect of IRS directly
295 influenced predicted trends without changing pre-control infection levels. The duration of IRS
296 required to achieve elimination (only relevant in model 1) was most sensitive for values of
297 parameters for the effect of IRS, the duration of the early asymptomatic stage of infection, and the
298 proportion of infections that result in symptoms.

299 **Discussion**

300 We developed three structurally different models with different reservoirs of infection to predict the
301 impact of IRS on VL incidence on the ISC, using the KalaNet dataset from India and Nepal to quantify
302 transmission dynamics in each model. All three models could equally well explain the KalaNet data.
303 However, the predicted impact of IRS varied substantially between models, such that a conclusion
304 about reaching the VL elimination targets for the ISC heavily depends on assumptions about the
305 main reservoir of infection in humans: asymptomatic cases (model 1), recovered (immune)
306 individuals in whom infection reactivates (model 2), or PKDL cases (model 3). Biologically, a mixture
307 of the different models is most likely, but could not be quantified solely based on the KalaNet data.
308 Still, given that the three models predict markedly different trends of VL incidence and infection in
309 sandflies during IRS, we may be able to express preference for one of the models based on field data
310 regarding the impact of IRS.

311 So far, only a limited amount of field data on the impact of IRS on VL incidence has been published
312 [45]. Kumar *et al* report that after one year of active IRS in 19 districts of Bihar, VL incidence

313 decreased by 49-100% in 15 districts, and VL incidence was stable or even increased in 4 districts,
314 such that the average reduction in VL prevalence over all 19 districts was about 50%. Based on these
315 findings we cautiously conclude that models 1 and 3 are probably closer to reality than model 2.
316 Although there is literature on prevalence of infection in sandflies [40,46,47] and the impact of IRS
317 on sandfly density [19,20,48], unfortunately, there are no published data on the impact of IRS on
318 prevalence of infection in sandflies. Such data would be very valuable to further our understanding
319 of VL transmission dynamics, and identify between model 1 and 3 the model that is closest to reality.
320 Still, as model 3 was included as an extreme variant of model 1, we can consider model 1 to be the
321 most realistic of our set of models. Currently ongoing initiatives such as the CARE project are
322 anticipated to provide more data on the long-term impact of IRS on VL incidence and perhaps
323 prevalence of infected sandflies in the field, which will be crucial to validate model predictions and
324 better understand VL transmission dynamics.

325 The large scale implementation of IRS in India started in 2005 as part of the national VL elimination
326 program [49], twelve years before the targeted year of VL elimination, 2017. Assuming that model 1
327 is closest to reality, elimination of VL (incidence <1 per 10,000 capita) is feasible in low, medium and
328 highly endemic settings by means of about four, six and twelve years of optimal IRS, respectively.
329 With sub-optimal IRS, model 1 predicts that elimination can only be achieved in low endemic
330 settings within about 10 years. Assuming that in some highly endemic areas IRS was only
331 implemented after the release of the WHO NTD Roadmap and London Declaration in 2012, IRS
332 would have to reduce sandfly densities by at least about 85% to achieve elimination within the next
333 five years. With our assumed 63% reduction in sandfly density by optimal IRS, elimination can be
334 achieved within 5 years (i.e. by 2017 if IRS was only implemented in 2012) for settings with an
335 annual VL incidence of up to about 8 per 10,000 capita. In particular for areas with highly endemic
336 levels, a longer period and/or higher effectiveness of IRS will be required, ideally supplemented by
337 additional interventions, certainly if the level of IRS is sub-optimal. In the future, vaccination may be
338 an important additional tool to eliminate VL on the ISC, should a vaccine become available [50,51].

339 Our models provide a tool to explore the potential impact of future vaccines and identify the
340 target product profiles of vaccines that may achieve elimination.

341 Our study is based on the existing deterministic transmission model that was developed at
342 Tuebingen University by Stauch *et al* [23], but we considerably improved the model in several ways.
343 To better account for the human demography on the ISC, we added population growth and age-
344 specific mortality. The resulting age-structured model further allowed us to better mimic age-
345 patterns in the KalaNet data. This also allowed us to account for the fact that the PCR data in the
346 KalaNet study were collected from a subsample of individuals aged 14 and older. Unlike Stauch *et al*,
347 we purposely did not use data on leishmania skin testing (LST, which was associated with the late
348 recovered, immune stage), as these LST data did not originate from the same study area. Moreover,
349 the fraction LST positive used and the assumption that early asymptomatic infection (PCR+/DAT-)
350 lasts only 60 days (we estimate 1.1. year) caused the original model to predict a very short natural
351 history of infection; one cycle of asymptomatic infection, recovery, and loss of immunity was
352 predicted to only take about 450 days, on average. Instead, we chose plausible values for the
353 duration of the recovered, immune stage (two or five years, which could readily support the data as
354 shown by the solutions to the system of ODEs in equilibrium), and used data on PCR incidence and
355 prevalence of PCR and DAT-positivity to inform the model about the duration of the natural history
356 of asymptomatic infection. We further improved the model by fitting our models to country-specific
357 data (India vs. Nepal), and by taking account of the fact that the data on prevalence of infection in
358 sandflies was only collected in Nepal.

359 Although our model was based on detailed field data, several uncertain factors remained. We
360 interpreted the KalaNet dataset as if it represented an endemic equilibrium. However, in reality
361 repeating small outbreaks of symptomatic cases have been reported to occur [52]. Whether these
362 fluctuations are true outbreaks or simple stochastic variation remains to be clarified, which will
363 require more modelling and detailed longitudinal data. We will investigate this in the future, using

364 an individual-based model (based on the current study) that captures both stochastic and spatial
365 variation. The KalaNet study included an active case-finding strategy, and although we accounted for
366 a longer duration of the symptomatic untreated stage for our predictions, 45 instead of 30 days, the
367 time between onset of symptoms and treatment could in certain settings be longer. This resulted in
368 an increase in the number of deaths due to VL but hardly influenced the transmission dynamics or
369 the predicted duration until elimination. Another potential limitation of our study is that observed
370 levels of PCR and DAT-positivity were assumed to adequately reflect the prevalences of the
371 corresponding stages of infection in the model. In a meta-analysis, Chappuis *et al* found that
372 sensitivity and specificity of DAT testing to be fairly high (about of 97.1 and 95.7) for the diagnosis of
373 VL [53], but these estimates do not necessarily apply to the ascertainment of *L.donovani*
374 asymptomatic infection, as the DAT test was not validated as such for that purpose. Further, we
375 interpreted the DAT data at the 1:800 titer cut-off (instead of the standard cut-off of 1:1600), which
376 probably increased test sensitivity but decreased specificity. There is little information regarding the
377 sensitivity and specificity of PCR, as there is no gold standard [54]. An exploratory analysis of
378 accounting for imperfect DAT and PCR testing in fitting the KalaNet data showed that predictions for
379 the impact of IRS only vary marginally when using realistic values of sensitivity and specificity
380 (Additional File 1, section 5). Further, the duration of the early asymptomatic stage suggests that the
381 development of detectable antibodies after infection requires about 1 year, which seems relatively
382 long. However, the estimated duration of the early asymptomatic stage was only at most 7% lower
383 when sensitivity of PCR testing was assumed to be as low as 70%. This can be explained by the fact
384 that PCR sensitivity affects PCR prevalence and incidence in the same way (although the effect on
385 incidence is somewhat larger to the involvement of two measurements). Last, we could only
386 estimate infectiveness of human stages of infection indirectly from the prevalence of infection in
387 sandflies, and only after certain assumptions about the relative infectiveness of clinical cases.
388 Ongoing xenodiagnostic studies and additional longitudinal data on the prevalence of infection in
389 sandflies during interventions are anticipated to further inform the model regarding this aspect.

390

391 **Conclusions**

392 We conclude that several structurally different models can equally well explain population-level data
393 on VL transmission. Consequently, the predicted impact of IRS strongly depends on assumptions
394 about the reservoir of infection in humans. Data on the impact of IRS available so far suggest one
395 model is probably closest to reality (model 1). According to this model, elimination of VL (incidence
396 of <1 per 10,000 capita) is probably only feasible by 2017 in low and medium endemic settings with
397 optimal IRS; in highly endemic settings and settings with sub-optimal IRS, additional interventions
398 will be required.

399

400 **List of abbreviations**

401 DAT: Direct agglutination test

402 IRS: Indoor residual spraying

403 ISC: Indian subcontinent

404 KA: Kala-azar

405 LST: Leishmania skin test

406 NTD: Neglected tropical disease

407 ODE: Ordinary differential equation

408 PCR: Polymerase chain reaction

409 PKDL: Post-kala-azar dermal leishmaniasis

410 VL: Visceral leishmaniasis

411 WHO: World Health Organization

412

413 **Competing interests**

414 The authors declare that they have no competing interests.

415

416 **Authors' contributions**

417 EALR, LEC, DMB and SJDV designed the visceral leishmaniasis transmission models. ECH, MCB, JARP
418 and DA informed the model structure with disease specific knowledge. JARP and DA assisted in
419 interpreting the elimination targets, strategies and policy. EARL, LEC and DMB performed and
420 interpreted the analyses. EALR and LEC wrote the initial draft of the manuscript. SJDV conceived of
421 the study, analyzed the results and critically revised the manuscript. All authors read, commented
422 on and approved the final version of the manuscript.

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444

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453

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661 **Additional File legend**

662 **Additional File 1:** This document provides the description, characterisation and calculations of
663 equilibria of a system of ordinary differential equations for three VL transmission models along with
664 data.

665 **Additional File 2:** Supplementary figure illustrating the fit of all model sub-variants to all data types
666 (extended version of Figure 2 in the main manuscript).

667 **Additional File 3:** Supplementary figure illustrating the long-term and short-term impact of optimal
668 and sub-optimal IRS in low, medium, and highly endemic areas for all model variants (extended
669 version of Figure 3 in the main manuscript), as well as transmission dynamics when infection is
670 introduced in a susceptible human population (outbreak).

671 **Additional File 4:** Supplementary figure illustrating the impact of optimal IRS on the prevalence of
672 infective sandflies in low, medium, and highly endemic settings, according to the best sub-variant of
673 each model (extended version of Figure 4 in the main manuscript).

674 **Additional File 5:** Supplementary figure illustrating the impact of optimal IRS on incidence of VL in a
675 sensitivity analysis of key estimated and assumed parameter values.

676

677 **Figure legends**

678 **Figure 1. Schematic representation of three model structures.** In model 1 (A), recovered individuals
679 eventually lose their immunity and become susceptible again to infection through exposure to infective
680 sandflies. In model 2 (B), recovered individuals may experience reactivation of their past infection such that
681 they directly re-enter the stage of early asymptomatic infection without requiring exposure to an infective
682 sandflies. In model 3, which is identical in structure to model 1(C), only cases of symptomatic infection and
683 PKDL contribute to transmission of infection, and duration of PKDL is three times as long as in model 1.

684 **Figure 2. Predicted and observed age-patterns in VL incidence and DAT prevalence in India and Nepal.**
685 Coloured lines represent model predictions from the sub-variant of each of the three models that best fit age-
686 patterns in human infection markers; black bullets represent the data per age group; horizontal lines indicate
687 the age range for each data point; vertical lines represent 95%-Bayesian credible intervals, given total raw
688 sample sizes (i.e. not accounting for clustering, see Additional File 1 for sample sizes). See Additional File 2 for
689 illustrations of the fit of all model sub-variants to all data types.

690 **Figure 3. Predicted impact of optimal and sub-optimal IRS on VL incidence for three endemic settings.** IRS is
691 assumed to start in the year zero. Lines within plots represent different pre-IRS endemic settings (high,
692 medium, low); the dotted line represents the target VL incidence of <1 per 10,000 capita. Model predictions
693 were made with the sub-variant of each of the three models that best fit age-patterns in human infection
694 markers. See Additional File 3 for the short and long-term impact of optimal and sub-optimal IRS in low,
695 medium, and highly endemic settings with all model sub-variants.

696 **Figure 4. Predicted prevalence of infective sandflies during IRS.** Pre-IRS prevalence levels of infective sandflies
697 represent a setting with 10 annual VL cases per 10,000 capita. IRS is assumed to start in the year zero, and to
698 be implemented optimally (63% reduction in sandfly density). The three colored lines represent the sub-
699 variant of each of the three models that best fit age-patterns in human infection markers. See Additional File 4
700 for low, medium and highly endemic settings with optimal and sub-optimal IRS.

Table 1. Overview of assumptions and pre-set parameters. The parameter values listed here are the same for all three models and their sub-variants, unless indicated otherwise.

Parameters	Value ^a	Source
Human birth rate (per 1000 capita, α_H)	21 (Indian crude birth rate in 2011)	[29]
Human mortality rate (μ_H)	Age-dependent (Indian mortality rates in 2011)	[30]
Average duration of late recovered stage (years, $1/\rho_{RHC}$)	2 or 5	Pre-set
Average duration of symptomatic untreated stage (days, $1/\rho_{IHS}$)	30 (fitting) and 45 (predicting)	Unpublished data
Average duration of symptomatic treatment 1 (days, $1/\rho_{IHT1}$)	30 (fitting) and 2.5 (predicting)	[31]
Average duration of symptomatic treatment 2 (days, $1/\rho_{IHT2}$)	30 (fitting) and 10 (predicting)	[32]
Average duration of putatively recovered stage (months, $1/\rho_{IHT}$)	21	[33]
Average duration of PKDL (years, $1/\rho_{IHL}$)	5 (models 1 and 2) and 15 (model 3)	Expert opinion (EH and MB)
Infectiveness of symptomatic untreated cases (p_{IHS})	1.0	Reference value
Infectiveness of patients under treatment 1 and 2 (p_{IHT1}, p_{IHT2})	0.5	Expert opinion (EH and MB)
Infectiveness of PKDL cases (p_{IHL})	0.5 (models 1 and 2 only; estimated for model 3)	Expert opinion (EH and MB)
Fraction of untreated symptomatic cases that spontaneously, putatively recover (f_P)	0.03	[23]
Excess mortality rate among untreated symptomatic cases (per day, μ_K)	1/150	Assumption
Excess mortality rate among treated symptomatic cases (per day, μ_{KT})	$1/150 + 1/600 = 1/120$ (fitting) and 1/150 (predicting)	[31,32]
Fraction of failed first-line treatments (f_F)	0.05	[34]
Fraction of putatively recovered cases that develop PKDL (f_L)	0.05 (set such that models 1 and 2 predicted a prevalence of PKDL between 4.4 and 7.8 per 10,000 capita in India)	[9,35]
Average life expectancy of the sandfly (days, $1/\mu_F$)	14	[36]
Average duration of incubation period in sandflies (days, $1/\rho_{EF}$)	5	[55]
Sandfly biting rate (per day, β)	1/4	[56]
Transmission probability sandfly to human (p_H)	1.0 ^b	Reference value

^a Parameter values marked with “fitting” only apply to the KalaNet study setting and were therefore only used when fitting the models to the KalaNet data; related to this, different parameter values were used when predicting the impact of IRS (indicated by “predicting”).

^b The probability that a susceptible person becomes infected when bitten by an infectious sandfly is assumed to be 1; potential overestimation is compensated by the estimated sandfly density per human.

Table 2. Quantified parameter values of the twelve model variants. The colours represent the model sub-variants that best reproduced the age-structured prevalence and incidence data.

See Additional File 2 for illustrations of fitting of all model variants to all data and Figure 2 for the predicted and observed age-patterns in VL incidence and DAT prevalence in India and Nepal with the selected model variants.

	Model 1				Model 2				Model 3			
	Fixed		Age-dependent		Fixed		Age-dependent		Fixed		Age-dependent	
Pre-set exposure to sandflies												
Pre-set duration of late recovered stage (years, $1/\rho_{RHC}$)	2	5	2	5	2	5	2	5	2	5	2	5
Duration of early asymptomatic (days, $1/\rho_{IHP}$)	383	384	382	384	390	387	392	387	384	384	383	385
Duration of late asymptomatic (days, $1/\rho_{IHD}$)	137	137	136	137	140	138	141	138	134	134	133	134
Duration of early recovered (days, $1/\rho_{RHD}$)	399	369	482	431	540	490	584	539	400	370	484	433
Fraction late asymptomatic to symptomatic (f_S)	2.91%	2.76%	3.33%	3.08%	3.63%	3.38%	3.85%	3.63%	2.91%	2.76%	3.34%	3.09%
Relative infectiveness of early asymptomatic (p_{IHP})	0.0144	0.0136	0.0144	0.0136	0.0176	0.0166	0.0150	0.0145	0*	0*	0*	0*
Relative infectiveness of late asymptomatic (p_{IHD})	0.0288	0.0273	0.0288	0.0272	0.0353	0.0332	0.0300	0.0290	0*	0*	0*	0*
Relative infectiveness of PKDL (p_{IHL})	0.5*	0.5*	0.5*	0.5*	0.5*	0.5*	0.5*	0.5*	2.72	2.70	2.32	2.32
Number of sandflies per human India ($N_{F,India}$)	0.301	1.136	0.269	0.972	0.027	0.075	0.031	0.101	0.298	1.123	0.262	0.898
Number of sandflies per human Nepal ($N_{F,Nepal}$)	0.197	0.276	0.172	0.249	0.021	0.038	0.023	0.041	0.197	0.276	0.172	0.249

* Pre-set values (dependent on model structure)